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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Fereira et al.

**Serial No.:** 10/814,826

**Filed:** March 31, 2004

**For:** NON-AQUEOUS SINGLE PHASE  
VEHICLES AND FORMULATIONS  
UTILIZING SUCH VEHICLES

**Confirmation No.:** 5280

**Examiner:** G. Kunz

**Group Art Unit:** 1615

**Attorney Docket No.:** 3139-6351.1US  
(ALZ5019/3239)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 8, 2006  
Date

*Emily Stevens*  
Signature

Emily Stevens  
Name (Type/Print)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

**U.S. Patent Documents**

<u><b>U.S. Patent No.</b></u>	<u><b>Publication Date</b></u>	<u><b>Patentee</b></u>
US - 5,308,348	05/03/1994	Balaban et al.
US - 5,312,389	05/17/1994	Theeuwes et al.
US - 6,132,420	10/17/2000	Dionne et al.
US - 6,508,808	01/21/2003	Carr et al.
US - 6,840,931	01/11/2005	Peterson et al.
US - 2005/0095284 A1	05/05/2005	Trautman

**Foreign Patent Documents**

<u><b>Document No.</b></u>	<u><b>Publication Date</b></u>	<u><b>Patentee</b></u>
WO 98/27962	07/02/1998	ALZA Corporation
WO 00/45790	08/10/2000	ALZA Corporation
WO 02/43800	06/06/2002	Durect Corporation
WO 03/041684 A2	05/22/2003	ALZA Corporation
WO 03/041684 A3	05/22/2003	ALZA Corporation
WO 03/072113	09/04/2003	Norbrook Laboratories Limited

**Other Documents**

International Search Report, dated August 12, 2004 (4 pages).

International Search Report, dated November 12, 2004 (4 pages).

Serial No. 10/814,826

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits. Therefore, no fee is due.

Respectfully submitted,



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Date: May 8, 2006

ERC/dn:es

Enclosures: Form PTO/SB/08

Copies of any cited Non-U.S. Patent Documents (8 documents)

Document in ProLaw

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/814,826
Filing Date	March 31, 2004
First Named Inventor	Ferreira et al.
Group Art Unit	1615
Examiner Name	G. Kunz
Attorney Docket Number	3139-6351.1US

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 98/27962	07/02/1998	ALZA Corporation		
		WO 00/45790	08/10/2000	ALZA Corporation		
		WO 02/43800	06/06/2002	Durect Corporation		
		WO 03/041684 A2	05/22/2003	ALZA Corporation		
		WO 03/041684 A3	05/22/2003	ALZA Corporation		
		WO 03/072113	09/04/2003	Norbrook Laboratories Limited		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
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Sheet

2

of

2

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First Named Inventor	Fereira et al.
Group Art Unit	1615
Examiner Name	G. Kunz
Attorney Docket Number	3139-6351 IJS

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report, dated August 12, 2004 (4 pages).	
		International Search Report, dated November 12, 2004 (4 pages).	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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